

# **Modelización de propiedades fisico-químicas de sistemas de interés catalítico**

**M. Verónica Ganduglia-Pirovano**

**Modeling for Theoretical Catalysis Group**

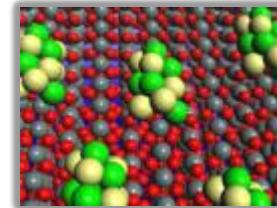
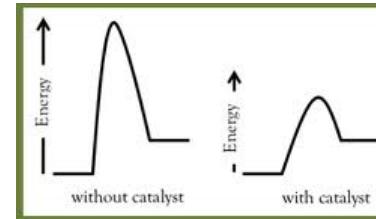
**Institute of Catalysis and Petrochemistry, CSIC, Madrid, Spain**

**Till 2010: Humboldt University Berlin, Berlin, Germany**

# Heterogeneous catalysis

- Catalysts work by providing lower activation barrier
- Metal or oxide catalysts are typically supported

→ increase surface area & lower cost



- support-catalyst interaction affects reactivity

**Understanding catalysis at the atomic level ↔ Rational design**

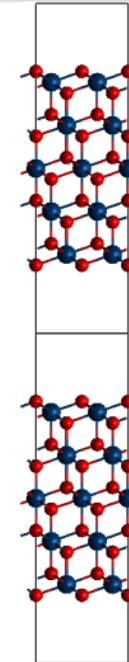
Problems → the structural complexity of most catalysts  
the pressure gap – UHV vs. real conditions

Solutions → suitable experimental and theoretical model systems

# Theoretical modelling

## Surface models

Periodic approach: supercell or slab geometry →



(2×2) CeO<sub>2</sub>(111)

## Methods

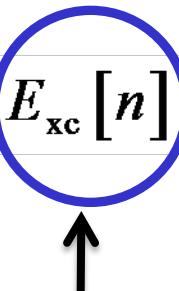
Density Functional Theory DFT

Vienna Ab initio Simulation Package, VASP

Total energy

$$E[n] = T_s[n] + E_{\text{ext}}[n] + E_{\text{Hartree}}[n] + E_{\text{xc}}[n]$$

↑  
electron density

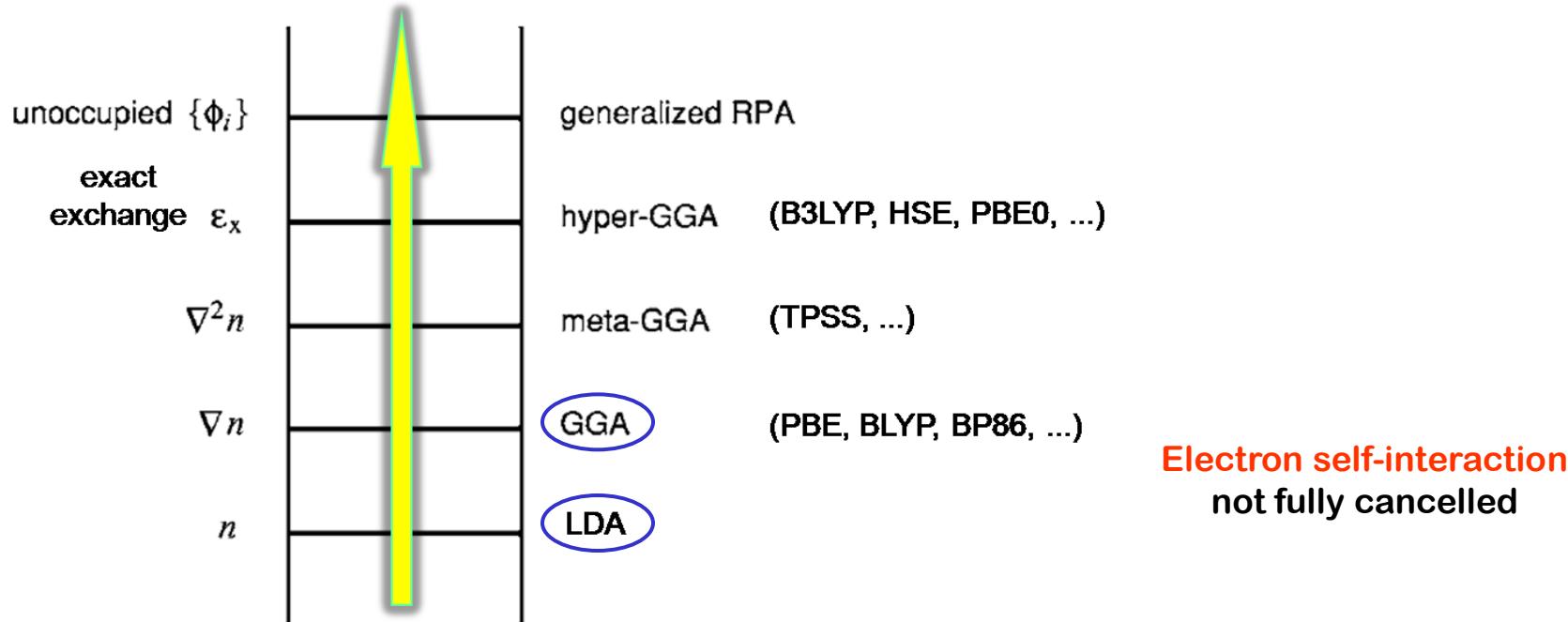


unknown exchange-correlation potential

# DFT: The Jacob's ladder

HEAVEN OF CHEMICAL ACCURACY

J. P. Perdew, A. Ruzsinszky, J. Tao, V. N. Staroverov, G. Scuseria, G. I. Csonka, JCP 123, 062201 (2005)



Local Density (LDA) and Generalized Gradient (GGA) Approximations

Defficiencies { Overestimation: Electron delocalization, metallic character, atomization energies  
Underestimation: Band gaps, energy barriers

„cheap“ solution: DFT (LDA/GGA)+U on-site Coulomb repulsion

„expensive“ solution: Hybrid-DFT exact-exchange

# Systems: Creation of computational model catalysts

## Nature

- Metal
- Oxide
- Metal/Oxide

## Aggregation

- Surfaces & Interfaces
- Supported nanostructures –clusters → **complex systems**

Everything should be as simple as it can be but not simpler!  
Einstein

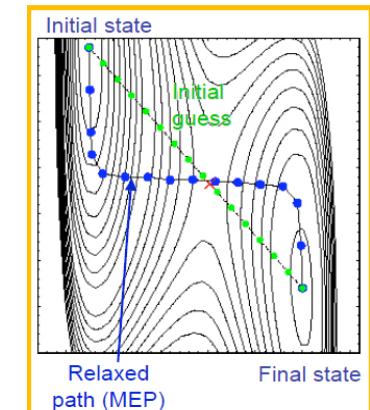
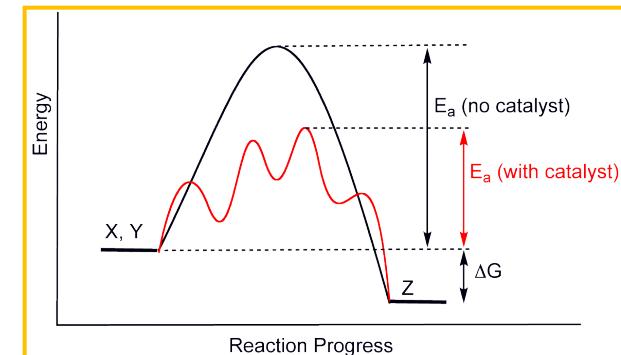
# Questions: Structure $\leftrightarrow$ Function

## Active Site

- Structure , electronic and vibrational properties –STM, XPS, IR
- Surface termination – mind pressure gap! → DFT+Statistical Thermodynamics  
DFT+Monte Carlo
- Support effect – not always innocent!
- Chemisorptive properties – TPD

## Function

- Reactivity Parameters → 'Probing' chemical reactivity
  - e.g., O defect formation energy
- ⇒ Best model catalyst candidate
- Reaction Mechanisms → Nudged Elastic Band Method



# Selected Examples

## Oxide Surfaces

### $\square \text{V}_2\text{O}_5(001)$

- ✓ Prediction of missing-row defect structure @ reducing conditions → confirmed
- ✓ Explanation MIT transition at the surface

PRB 70, 045422 (2004)  
PRL 99, 226103 (2007)

### $\square \text{CeO}_2(111)$

- ✓ Prediction of localization of excess charge away from the defect → confirmed
- ✓ Explanation of (2×2) subsurface vacancy ordering
- ✓ Understanding mechanism for partial alkyne hydrogenation

PRL 102, 026101 (2009)  
PRL 106, 246801 (2011)  
PRL 110, 246101 (2013)

J. Phys. Chem. C 118, 5360 (2014)

## Oxide/Oxide

### $\square \text{VO}_x/\text{CeO}_2$

- ✓ Elucidation of monolayer catalyst structure
- ✓ Understanding support effect on reactivity

Angew. Chem. Int. Ed. 48, 8006 (2009)  
JACS 132, 2345 (2010)  
J. Phys. Chem. C 115, 7399 (2011)

## Metal/Oxide

### $\square \text{Au}/\text{Al}_2\text{O}_3/\text{NiAl}$

- ✓ Elucidation of electronic structure – counting electrons

PRL 100, 096802 (2008)

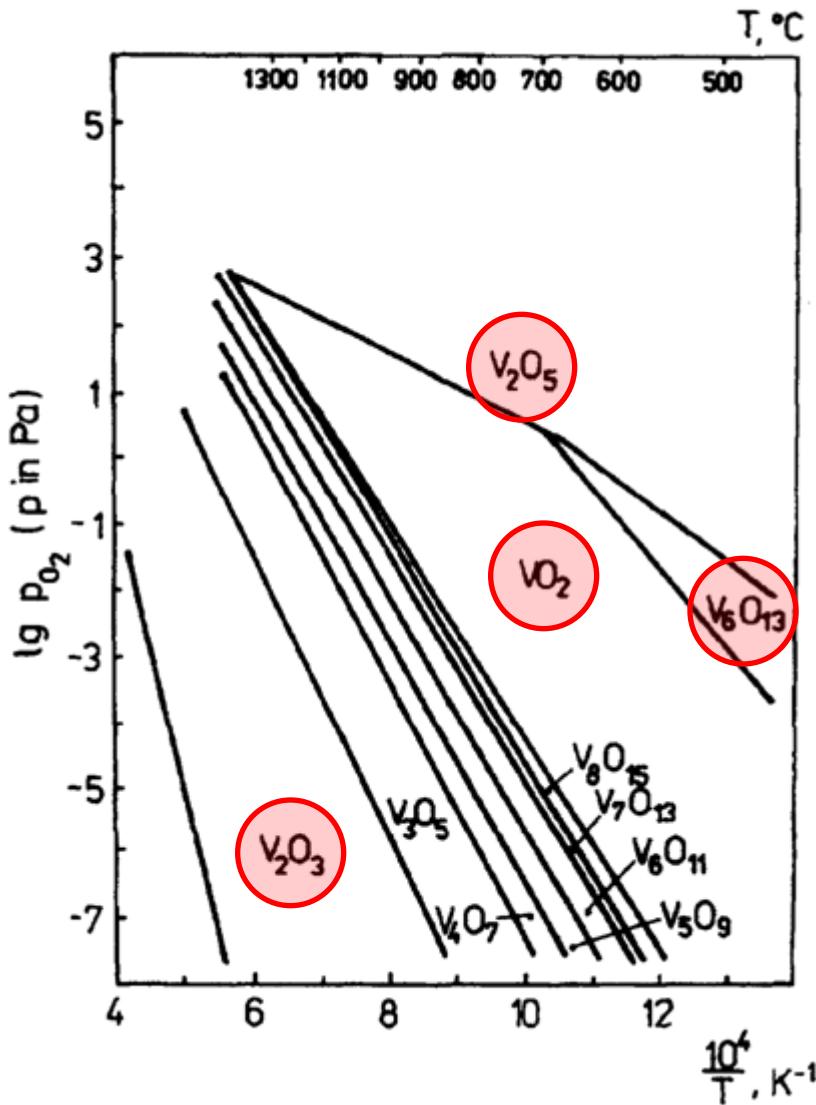
### $\square \text{Ni}/\text{CeO}_2$

- ✓ Explanation of Ni coverage dependence on reactivity for water-gas shift

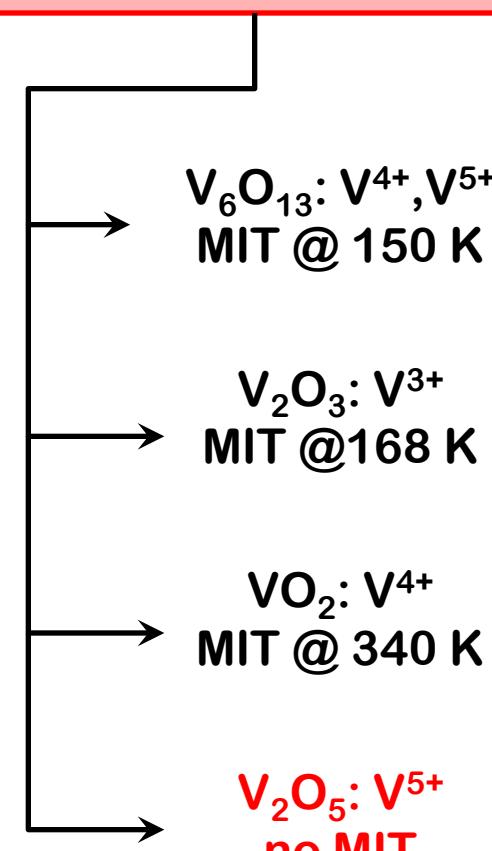
J. Phys. Chem. C 117, 8241 (2013)

# Vanadium oxide bulk phases

- $\text{VO}_x$  large variety of oxidation states  
 $\text{V}_2\text{O}_5$  (5+),  $\text{VO}_2$  (4+),  $\text{V}_2\text{O}_3$  (3+),  $\text{VO}$  (2+)



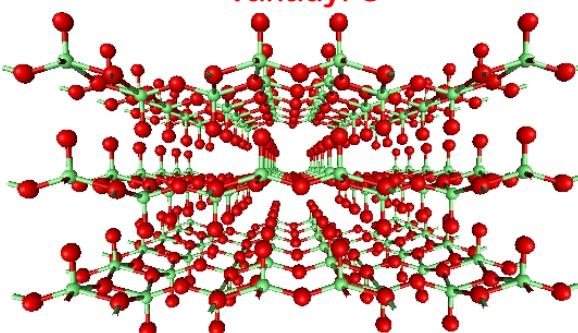
Most vanadium oxide bulk phases exhibit a metal-insulator transition



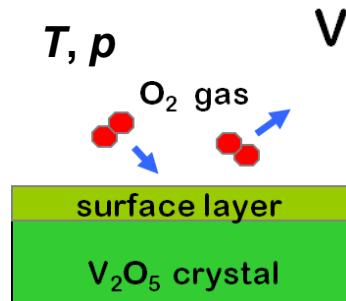
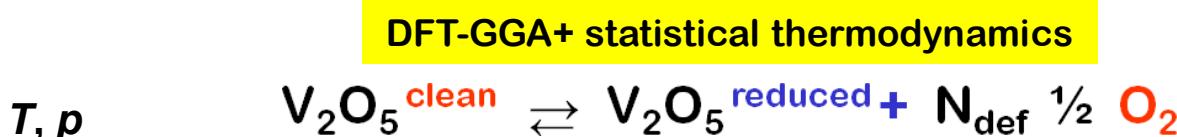
# $V_2O_5(001)$ : defect structure and MIT transition

$V_2O_5(001)$

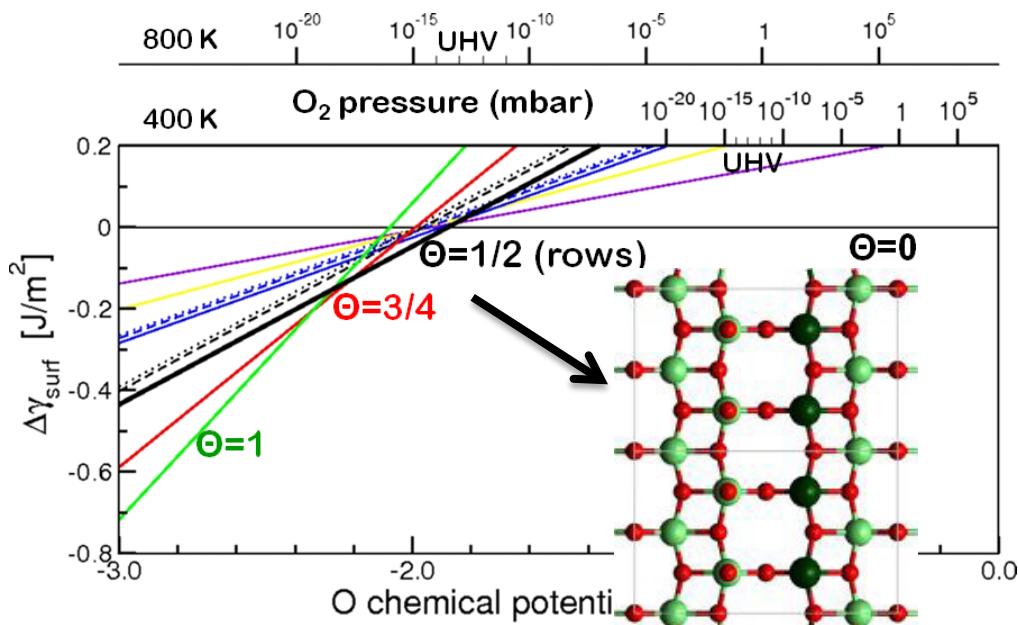
Vanadyl O



$V^{5+}$  insulator



$$\Delta\gamma_{\text{surf}}(T,p) \approx N_{\text{def}} [E_f^{\frac{1}{2}O_2}(\Theta) + \frac{1}{2}\Delta\mu_{O_2}(T,p)]/A$$

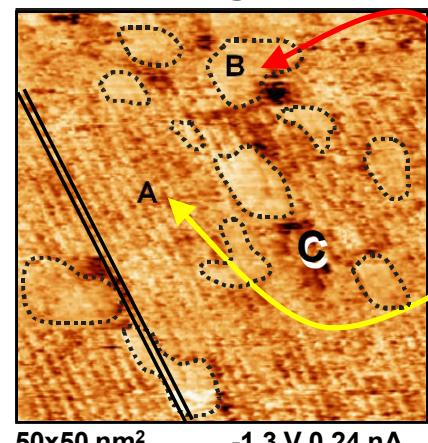


- DFT predicts the ease of reduction in the direction of the rows  
Explanation in terms of defect-induced lattice relaxation effects

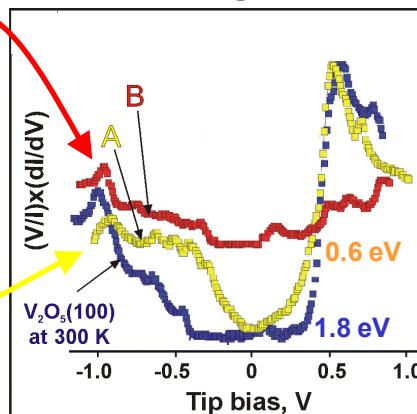
# $V_2O_5(001)$ : defect structure and MIT transition

PRB 70, 045422 (2004)  
PRL 99, 226103 (2007)

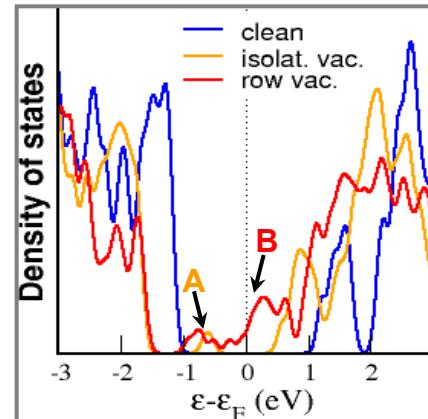
STM @350K



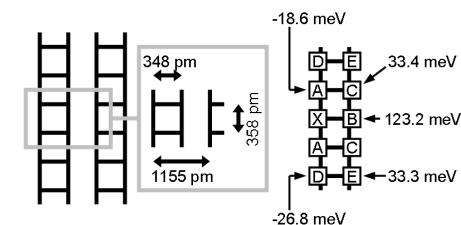
STS @350K



DFT+U U=3 eV

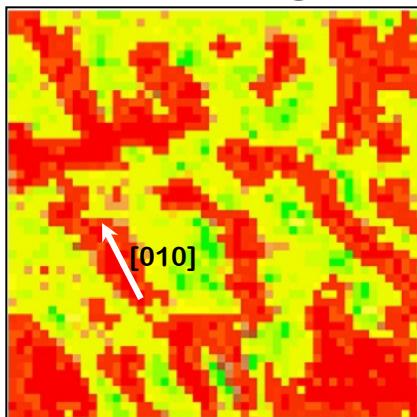


Monte Carlo network

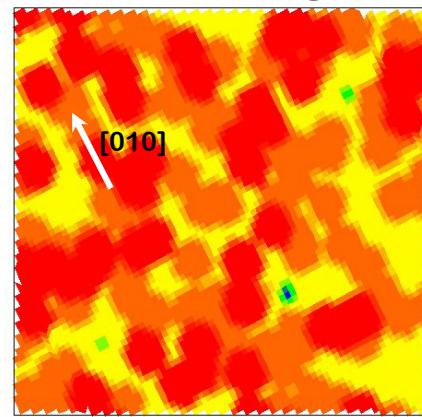


50 double rows  
150 sites/row  
15,000 sites

@400K



7% reduced sites @300 K



surface insulator-metal transition  
350-400 K

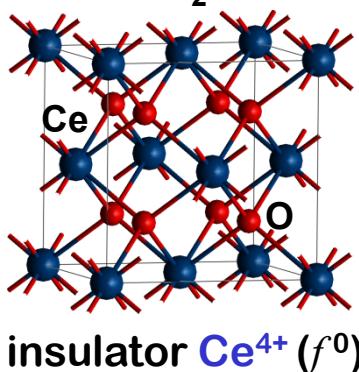
Bandgap map

Monte Carlo simulated Bandgap map

The facile reduction along [010] constitutes a prediction!!

# $\text{CeO}_2$ : a challenge for DFT –*f*-electron systems

$\text{CeO}_2$



□ Catalysis, Ion Conductor, Gas Sensor, Fuel Cell Component

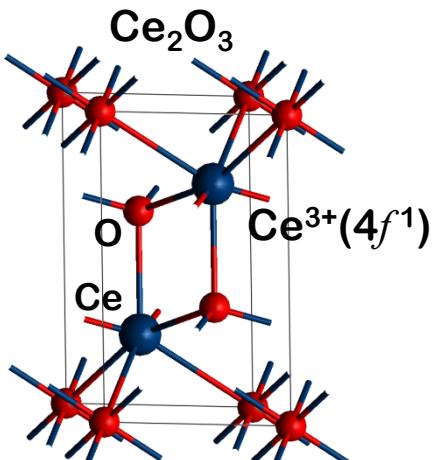
Ceria **reducibility** is **key** to its functionality



Kresse, Blaha, Da Silva, Ganduglia-Pirovano, PRB 72 (2006)

Da Silva, Ganduglia-Pirovano, Sauer, Bayer, Kresse, PRB 75 (2007)

Ganduglia-Pirovano, Hofmann, Sauer, Surf. Sci. Rep. 62, 219 (2007)



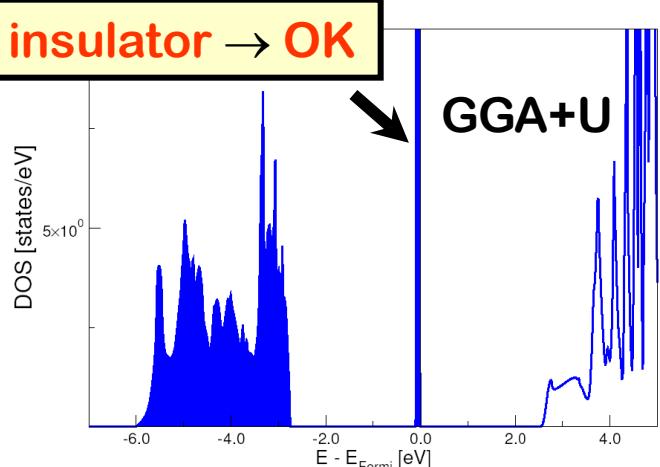
Accurate description?  
Predictive power?

antiferromagnetic  
insulating ground state

DFT: ~~GGA~~

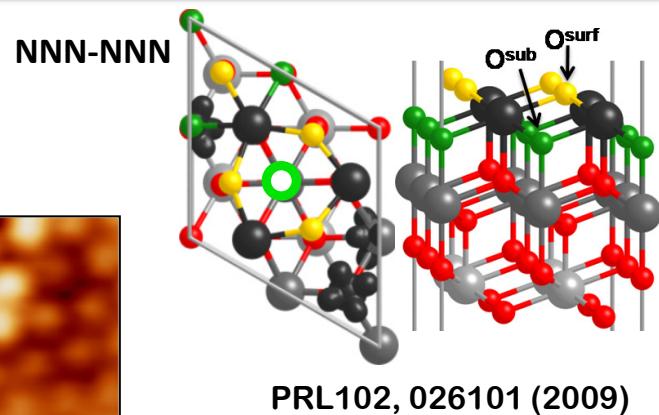
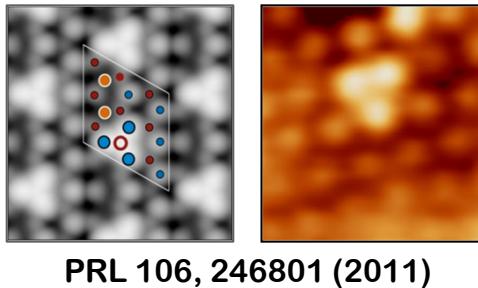
GGA+U; Hybrid

insulator → OK



# $\text{CeO}_2(111)$ : Near-surface oxygen defect structure

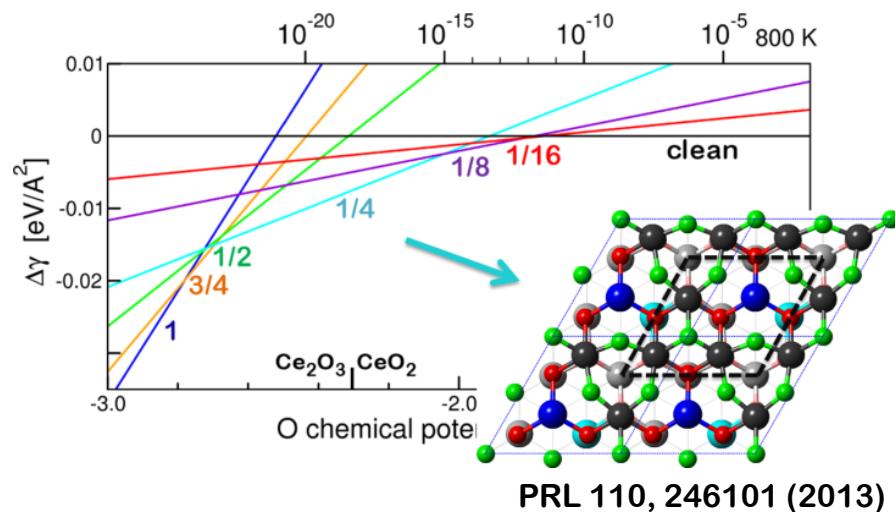
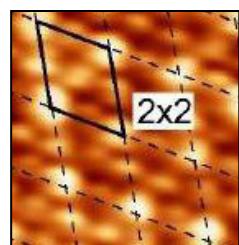
- DFT predicts a tendency of  $\text{Ce}^{3+}$  ions to be away from the defect



- STM/STS +DFT confirm the tendency of defects to bind to  $\text{Ce}^{4+}$  ions

- DFT predicts a preference for subsurface O defects ( $1/16 \leq \Theta \leq 1 \text{ ML}$ )

- DFT + statistical thermodynamics predict a ( $2 \times 2$ ) subsurface vacancy structure → repulsion!



- Explanations in terms of defect-induced lattice relaxation effects –  $\text{Ce}^{3+}$  is larger

# Ceria as stand-alone catalyst

Angewandte  
Communications

Angew. Chem. Int. Ed. 2012, 51, 8620–8623

Heterogeneous Catalysis

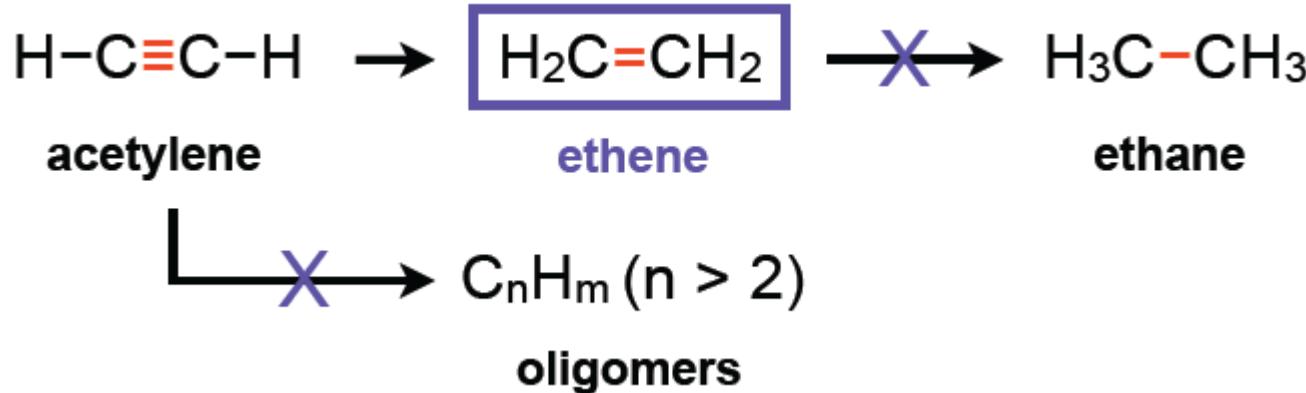
DOI: 10.1002/anie.201203675

## Ceria in Hydrogenation Catalysis: High Selectivity in the Conversion of Alkynes to Olefins\*\*

Gianvito Vilé, Blaise Bridier, Jonas Wichert, and Javier Pérez-Ramírez\*

Institute for Chemical and Bioengineering

Department of Chemistry and Applied Biosciences, ETH Zurich



- Partial alkyne hydrogenation: crucial step for purification of olefin streams
- Conventional catalysts: Pd-based

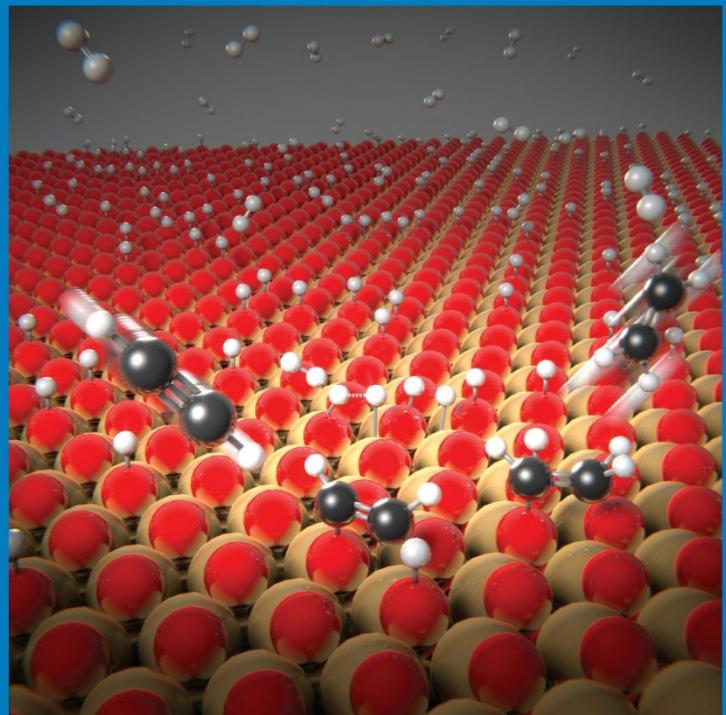
# Hydrogenation reaction mechanism

J. Phys. Chem. C 118, 5360 (2014)

MARCH 13, 2014  
VOLUME 118  
NUMBER 10  
pubs.acs.org/JPCC

## THE JOURNAL OF PHYSICAL CHEMISTRY

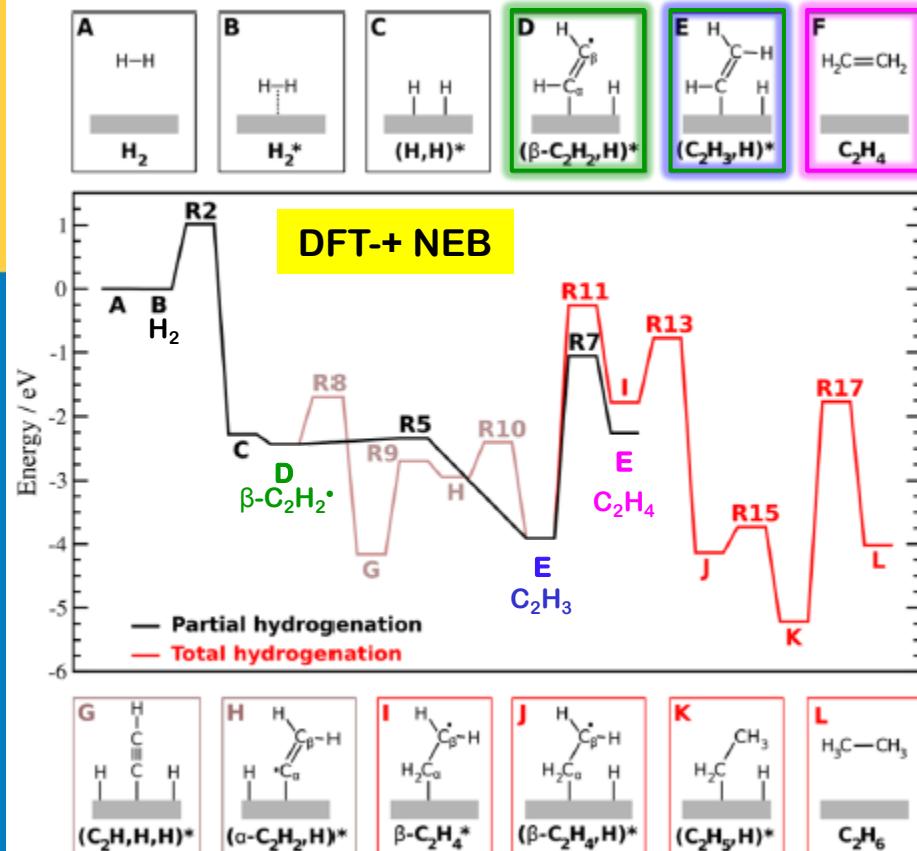
C



ENERGY CONVERSION AND STORAGE, OPTICAL AND ELECTRONIC DEVICES,  
INTERFACES, NANOMATERIALS, AND HARD MATTER

Molecular-Level Understanding of  $\text{CeO}_2$  as a Catalyst for Partial Alkyne Hydrogenation

Javier Carrasco,<sup>\*,†,‡</sup> Gianvito Vilé,<sup>§</sup> Delia Fernández-Torre,<sup>||,⊥</sup> Rubén Pérez,<sup>||,#</sup> Javier Pérez-Ramírez,<sup>\*,§</sup> and M. Verónica Ganduglia-Pirovano<sup>†</sup>

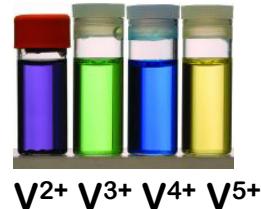


- $\text{H}_2$  dissociation → limiting step
- Highly reactive  $\beta\text{-C}_2\text{H}_2\cdot$  radical species –  $\text{Ce}^{3+}$  – hydrogenated to form  $\text{C}_2\text{H}_3$  → “barrierless”
- Oligomer formation only at low  $\text{H}_2/\text{C}_2\text{H}_2$  ratios

# Oxide supported vanadia – $\text{VO}_x$ – catalysts

- $\text{VO}_x$  large variety of oxidation states

$\text{V}_2\text{O}_5$  (5+),  $\text{VO}_2$  (4+),  $\text{V}_2\text{O}_3$  (3+),  $\text{VO}$  (2+)

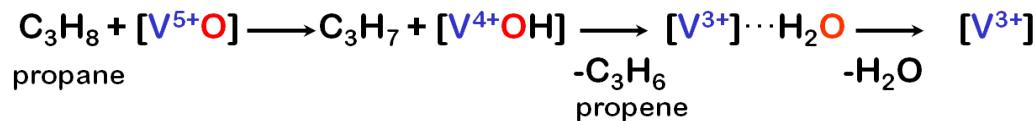


- Important catalysts in oxidation reactions

- $\text{SO}_2$  to  $\text{SO}_3$  in the production of sulfuric acid
- benzene to maleic anhydride (polyester resins)
- oxidative dehydrogenation alkanes to alkenes, methanol to formaldehyde



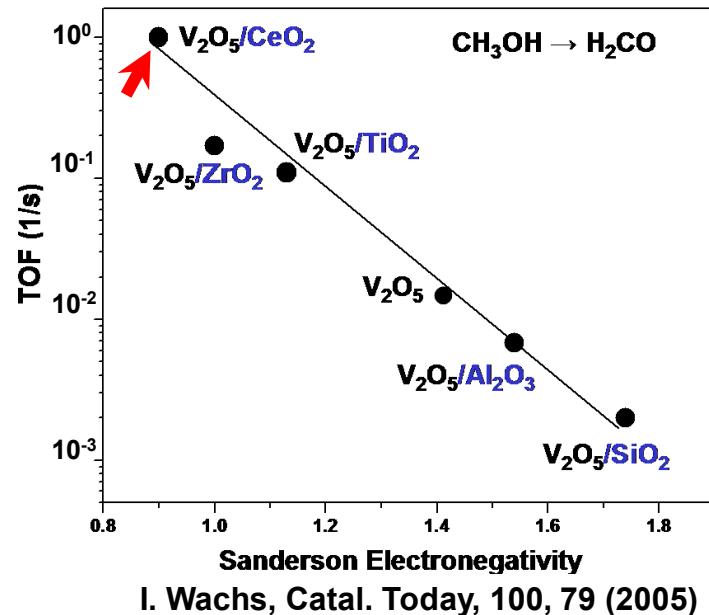
**Reducibility is key to their catalytic function**



## Reactivity Parameter



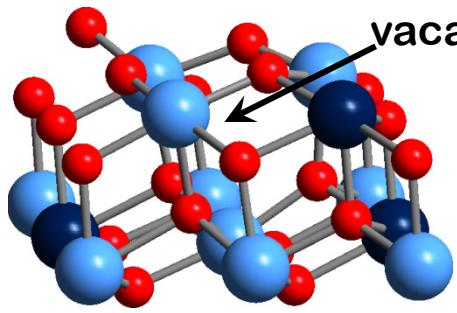
- The specific **support** affects the structure and catalytic performance



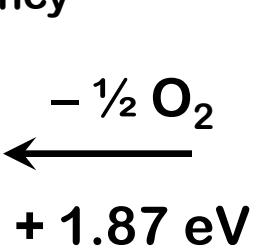
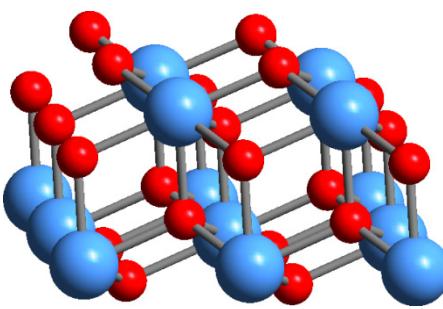
# Reactivity: The origin of the support effect

Angew. Chem. Int. Ed. 48, 8006 (2009)  
 JACS 132, 2345 (2010)  
 J. Phys. Chem. C 115, 7399 (2011)

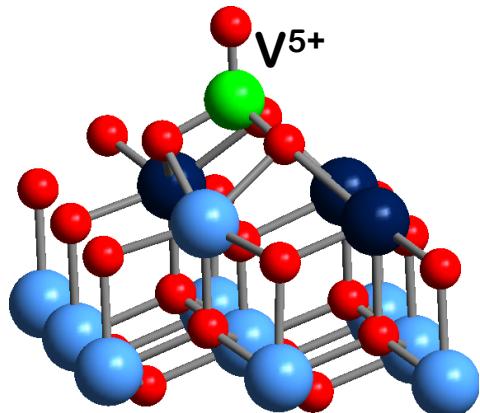
$\text{CeO}_{2-x}(111)$



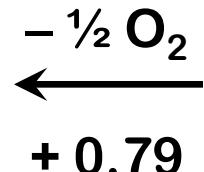
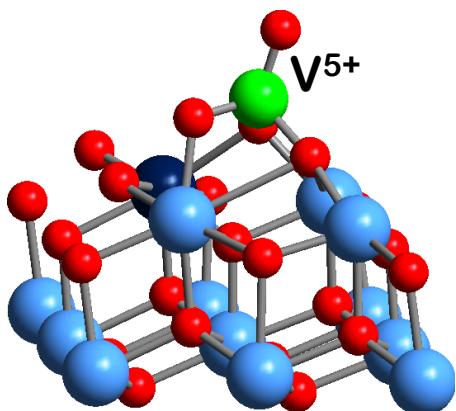
$\text{CeO}_2(111)$



$\text{VO}/\text{CeO}_2(111)$



$\text{VO}_2/\text{CeO}_2(111)$

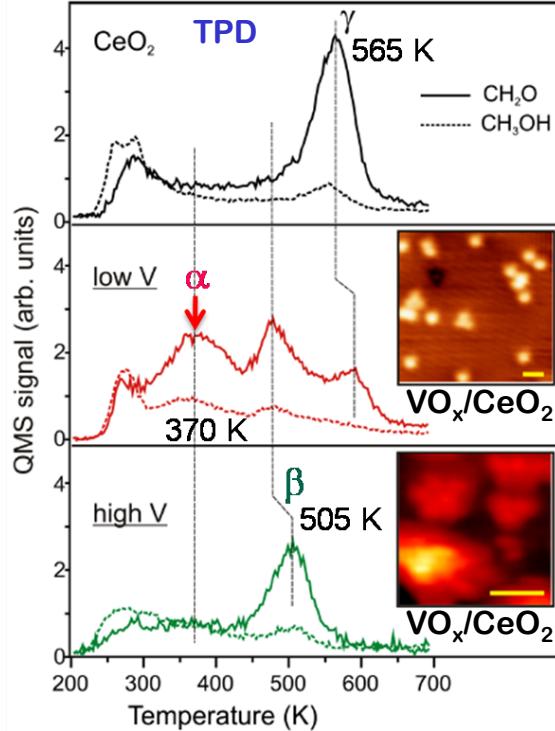
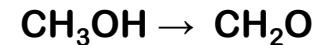


$3\times\text{Ce}^{3+}$

PBE+U [eV]

$1\times\text{Ce}^{3+}$

## Reactivity

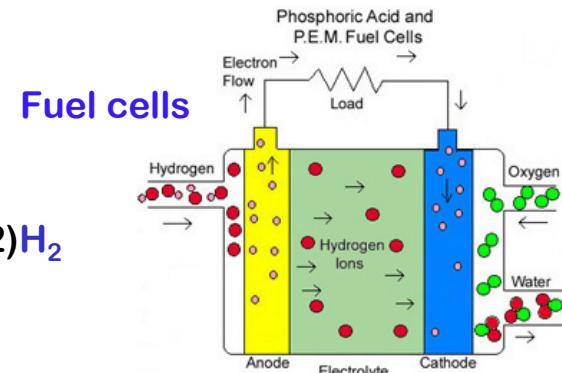


Origin of the high catalytic activity is the ability of ceria to stabilize reduced states by accommodating electrons in localized f-states, which is promoted by the vanadia species

# Oxide supported metal catalysts

- Hydrogen is expected to play an important role in future energy scenarios

- H<sub>2</sub> production
  - Steam reforming of hydrocarbons:  $C_nH_m + nH_2O \rightarrow nCO + (n-m/2)H_2$
  - Fuel contains 1-10% CO → Pt electrode degradation
  - Water-gas shift:  $CO + H_2O \rightarrow H_2 + CO_2$



## WGS catalysts

- classic catalyst formulation: mixed Fe and Cr or Cu and Zn oxides → drawback: long preconditioning
- new catalysts: metal–Pt, Au–particles supported by reducible oxides–TiO<sub>2</sub>, CeO<sub>2</sub>

Fu et al., Science 301 (2003) 935

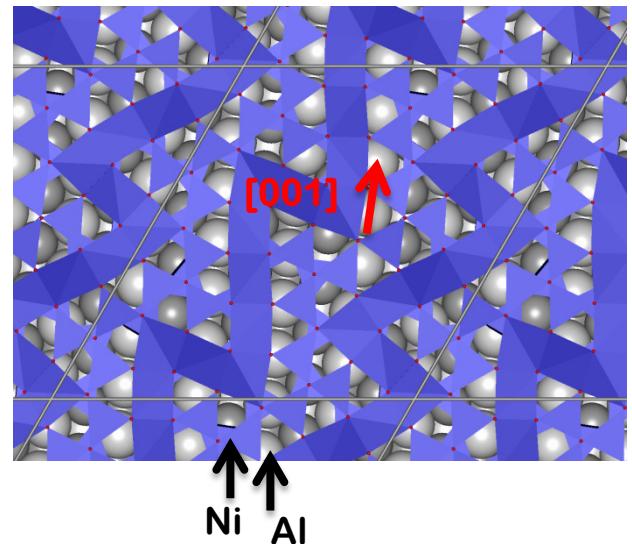
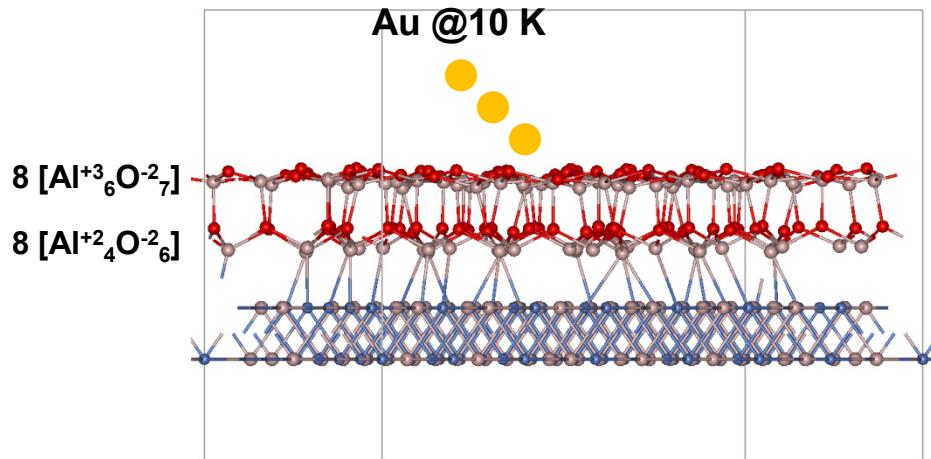
Bifunctional: CO adsorbs on metal  
H<sub>2</sub>O dissociates on oxide



- cluster size
- charge of the metal cluster
- metal/oxide support interface

# Counting electrons on oxide supported Au chains

The model: Au on a thin alumina on NiAl(110)



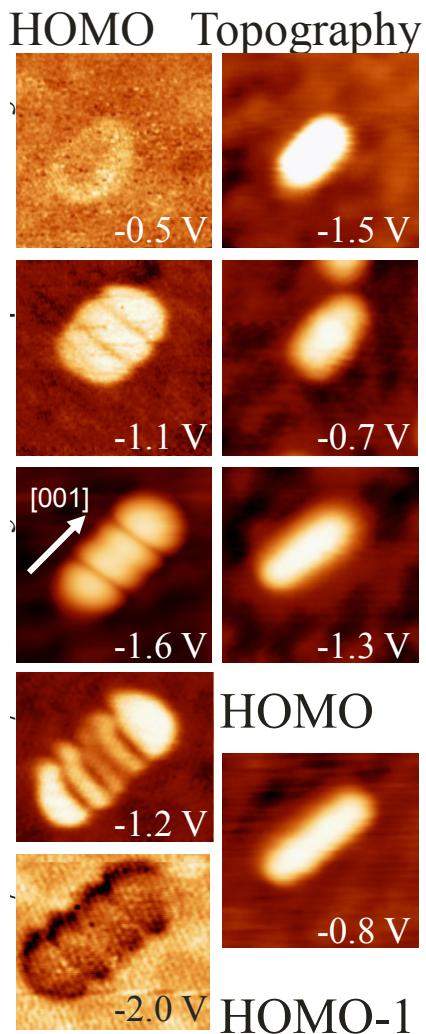
Support structure:

Kresse, Schmid, Napetschnig, Shishkin, Köhler, Varga,  
Science 308, 1440 (2005)

Kulawik, Nilius, Freund, PRL 96, 036103 (2006)

# Self-assembly Au chains: STS & STM

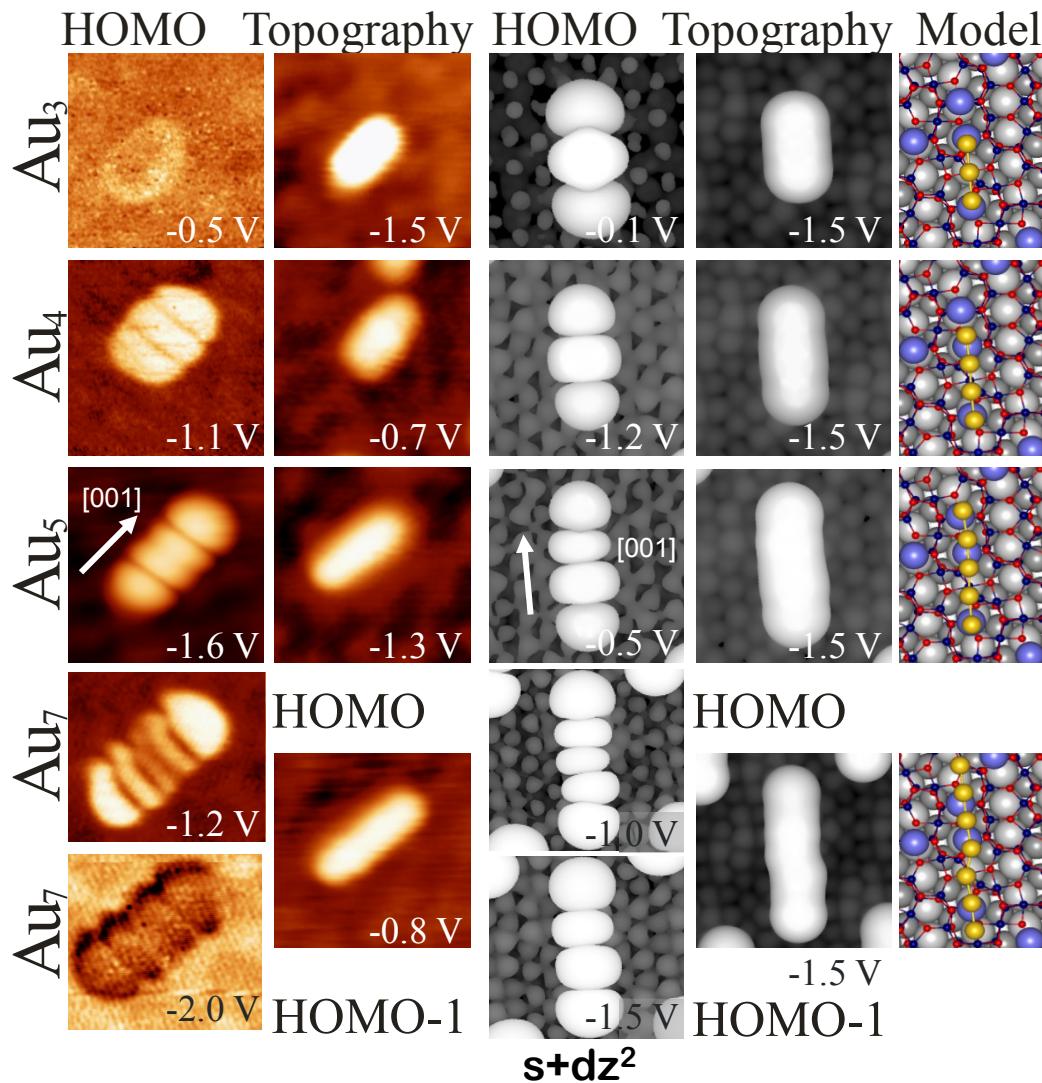
PRL 100, 096802 (2008)



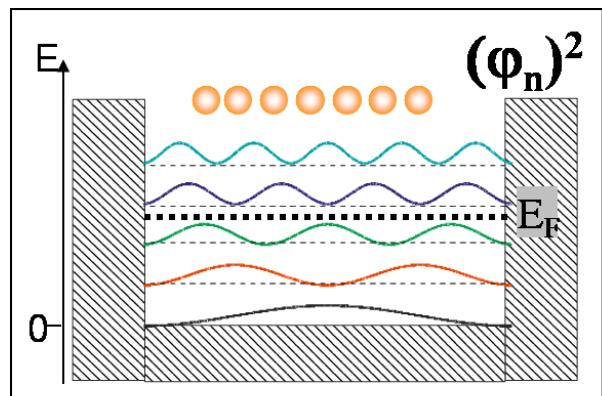
Au chains

# Au on a thin alumina film on NiAl: STS & STM

PRL 100, 096802 (2008)



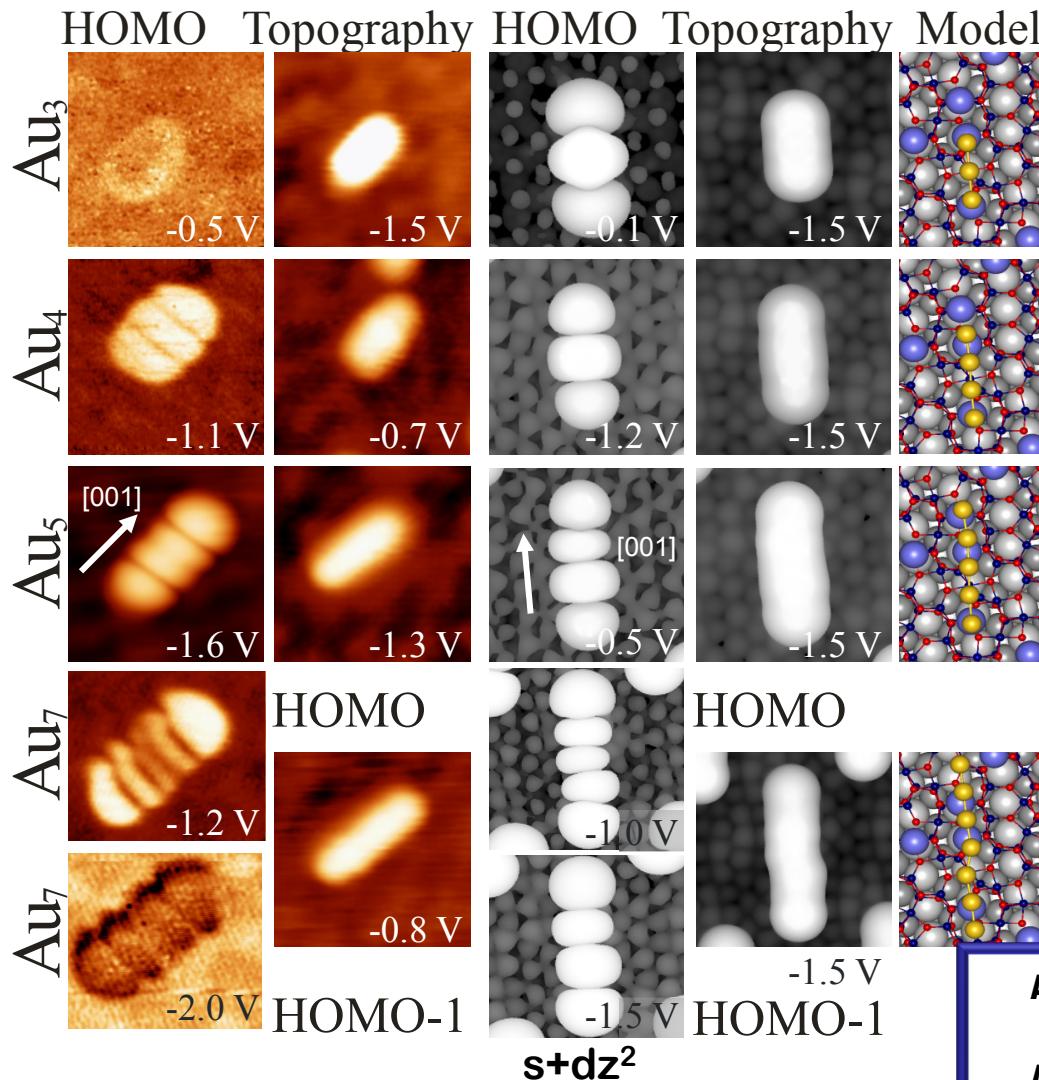
quantum well states:  
1  $s+dz^2$  state per Au atom



Orbital shape	No. of Maxima	No. of Electrons
	5	10
	4	8
	3	6
	2	4
	1	2

# Au on a thin alumina film on NiAl: STS & STM

PRL 100, 096802 (2008)



quantum well states:  
1  $s+dz^2$  state per Au atom

tetramer 4 Au  
 $0 \mu_B$   
 $2 \text{ nodes} \rightarrow 6 \text{ electrons}$   
 2

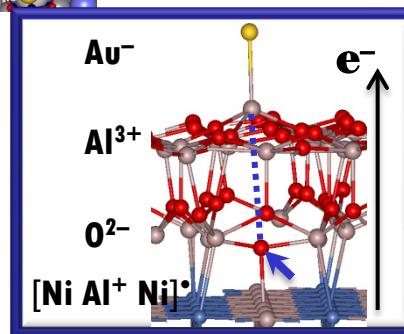
pentamer 5 Au  
 $1 \mu_B$   
 $3 \text{ nodes} \rightarrow 8 \text{ electrons}$   
 3

heptamer 7 Au  
 $1 \mu_B$   
 $4 \text{ nodes} \rightarrow 10 \text{ electrons}$   
 3

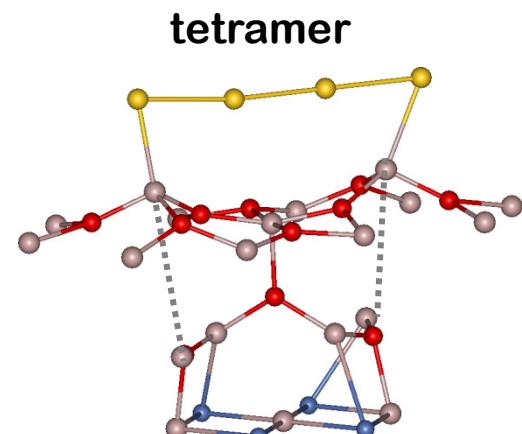
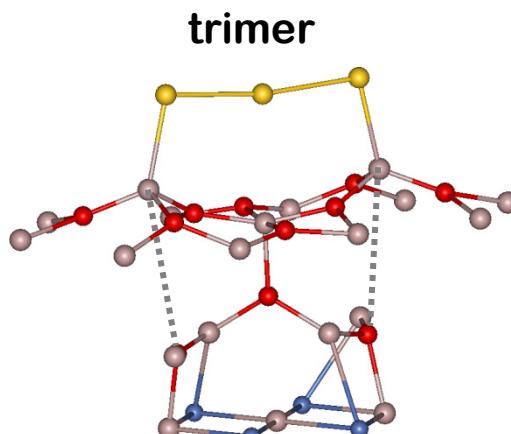
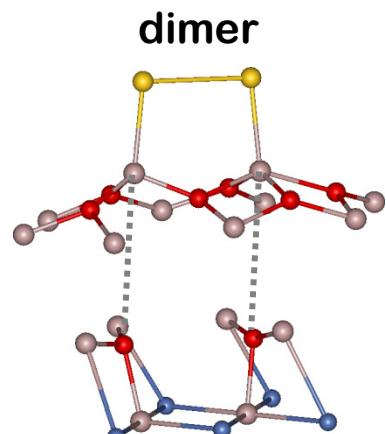
missing electrons?

Breaking & forming of Al-O bonds

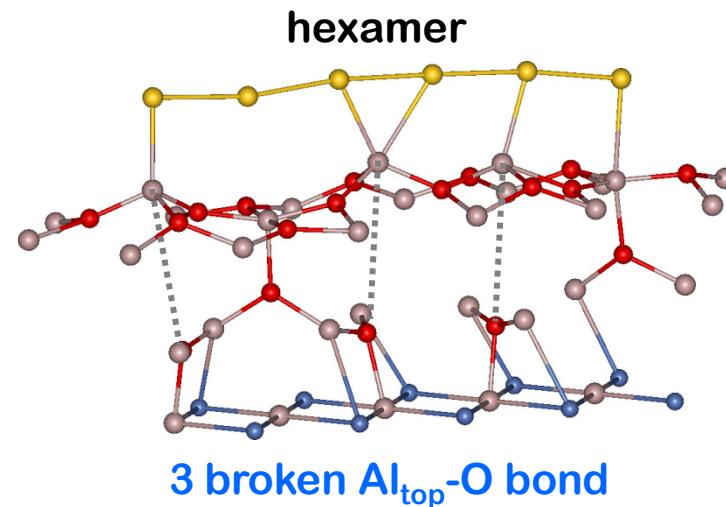
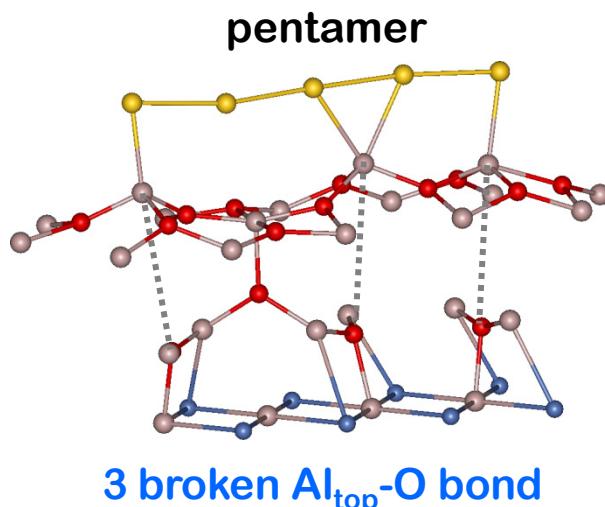
→ negative charging of Au



# The self-assembled chains



If 1  $e^-$  per broken bond is assumed to be transferred,  
the number of nodes and magnetization can be explained



# Oxide supported metal catalysts: Ni/CeO<sub>2</sub>

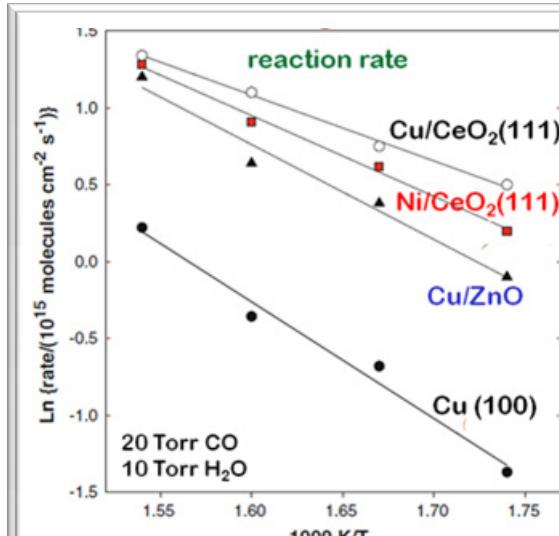
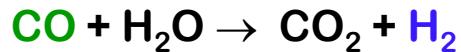
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- classic catalyst formulation: mixed Fe and Cr or Cu and Zn oxides → drawback: long preconditioning
- new catalysts: metal–Pt, Au–particles supported by reducible oxides–TiO<sub>2</sub>, CeO<sub>2</sub>–bifunctional

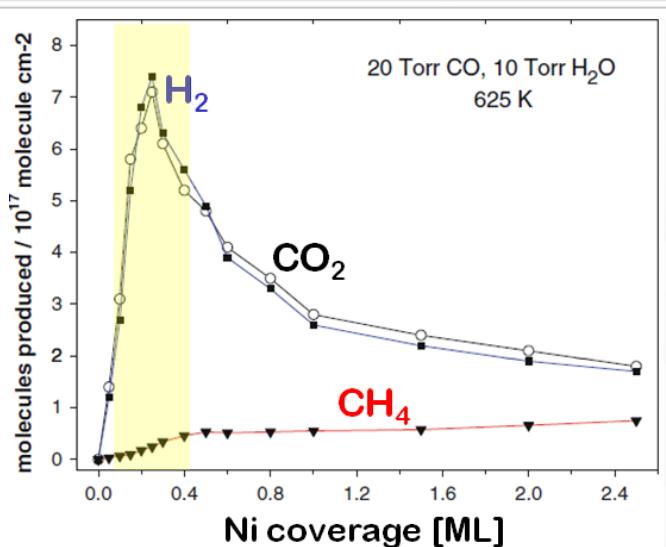


Fu et al., Science 301 (2003) 935

- promising water-gas shift catalysts: Ni/ceria collaboration Brookhaven



Zhou et al., Angew. Chem. Int. Ed. 49 (2010) 9680



Ni coverage dependence

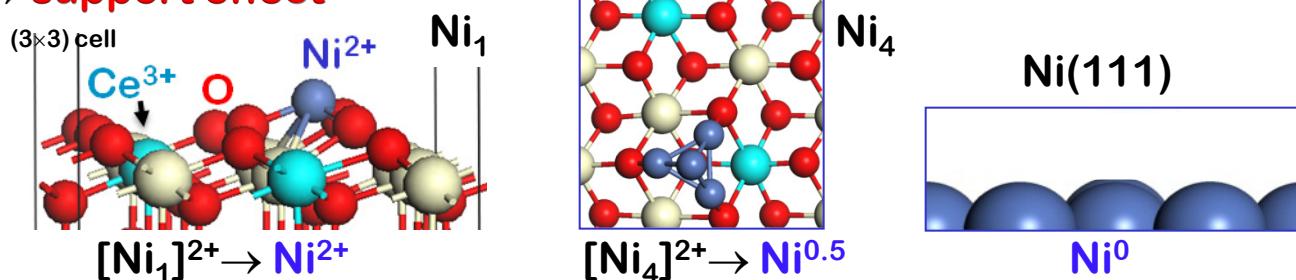
small is better!

# Ni/CeO<sub>2</sub> model catalyst

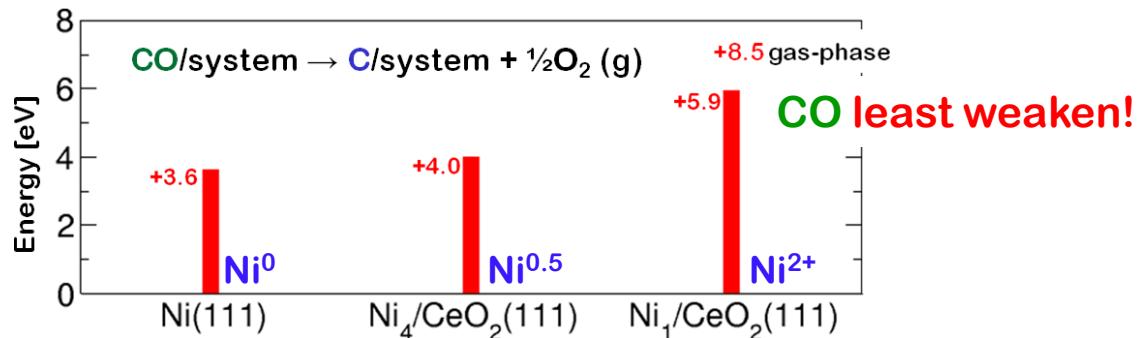
- The WGS activity depends strongly on the size of the Ni particles  
– the smaller the better → support effect

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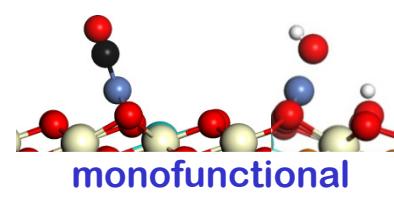
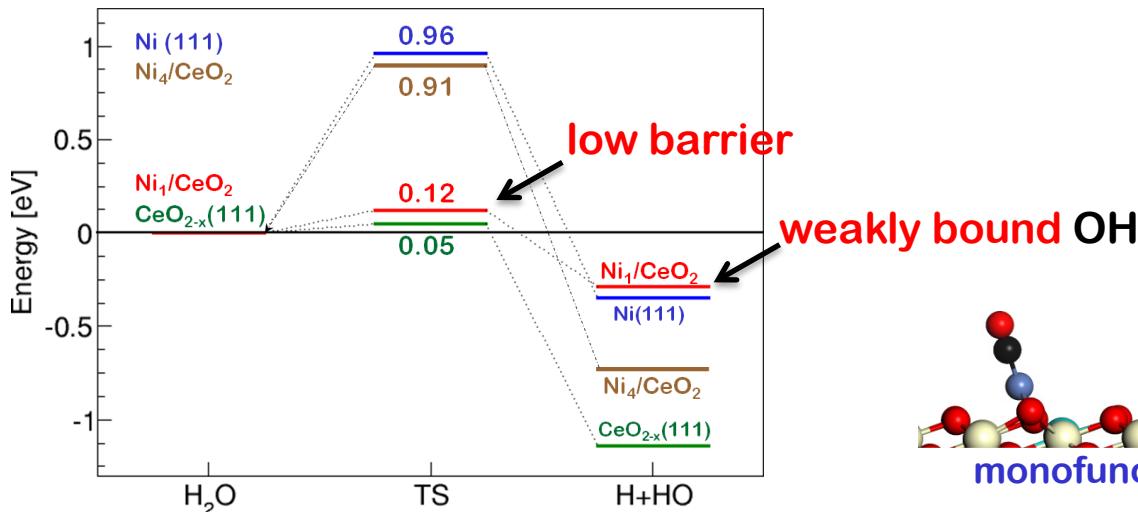
- Ni oxidation state →



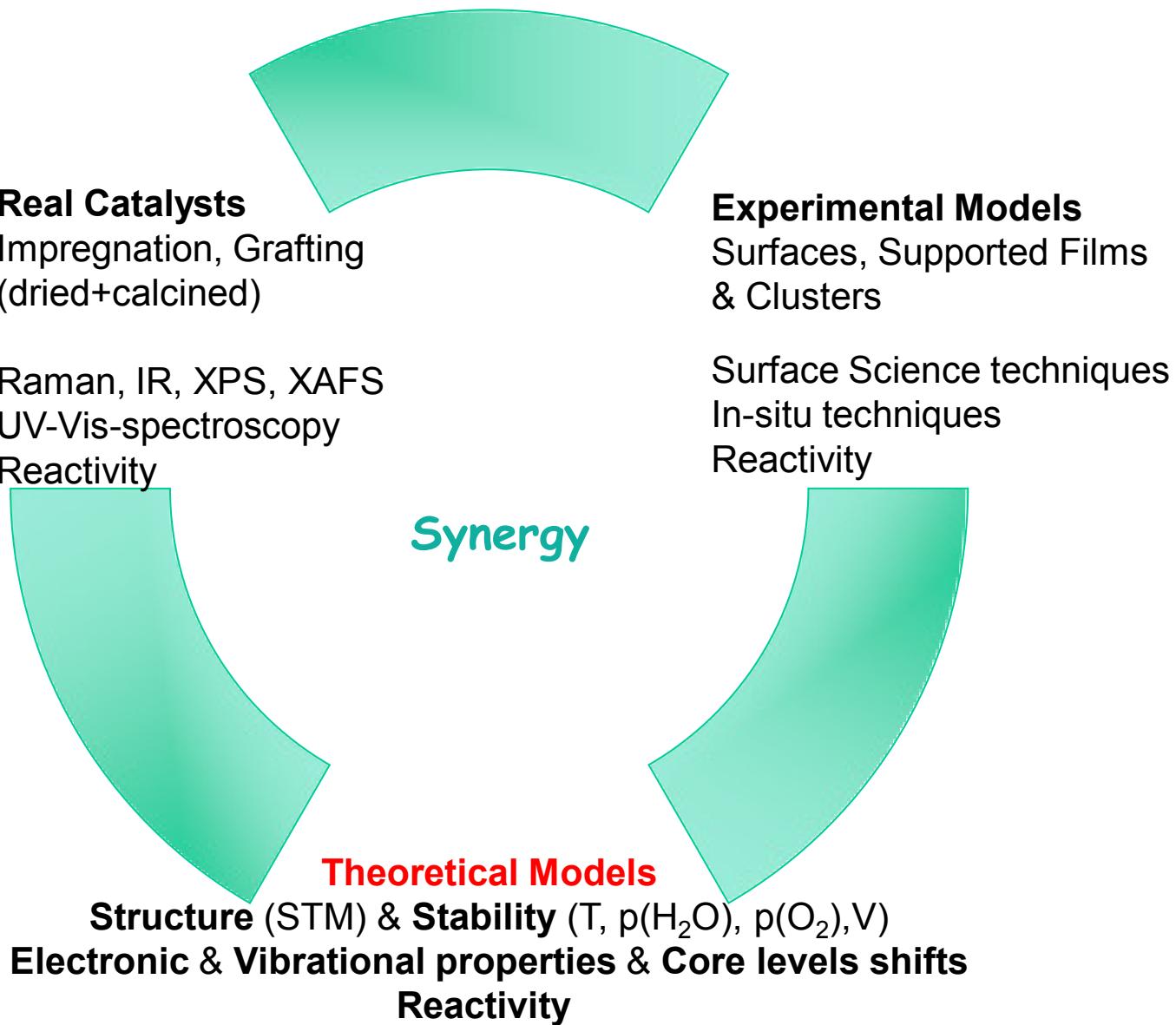
- C–O bond strength



- H<sub>2</sub>O dissociation



# The power of synergy



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